



## Instructions for Filing

### Statement with Reference to Games of Chance

[Section 11-50-1](#) of the General Laws of Rhode Island, 1956, as amended

The attached form is designed to meet minimal statutory filing requirements pursuant to the relevant statutory provision. This form and the information provided are not substitutes for the advice and services of an attorney and/or tax specialist.

### This legal document should be typed. All illegible documents will be REJECTED.

All filings are public records under RIGL [38-2-1](#), et seq. This means all information is available to the public by a variety of methods including, without limitations, inspections at our office, telephone inquiries and electronically through our online database.

### Games of Chance (RIGL [11-50](#))

RIGL [11-50-1](#): Any person, firm, or corporation proposing to engage in any game, contest, or other promotion or advertising scheme or plan in which a retail establishment offers the opportunity to receive gifts, prizes, or gratuities, as determined by chance, in order to promote its retail business, where the total announced value of the prizes offered to the general public is in excess of five hundred dollars (\$500), shall file with the secretary of state upon a form that he or she shall provide a statement setting forth: (1) the minimum number of participating objects to be made available; (2) the minimum number of prize winning objects that will be included in the promotion or advertising scheme or plan; (3) the proportionate opportunity of winning prizes; (4) the minimum value of prizes to be made available; and (5) the rules and regulations pertaining to the promotion or advertising scheme or plan which shall include the period of time and the geographic area to be covered by the contest. There shall be a filing fee of one hundred and fifty dollars (\$150) when the statement is filed. Failure to file a statement shall be a misdemeanor.

**\*\*NOTE** the Office of the Rhode Island Attorney General is responsible for the enforcement of this Chapter. If you have any questions concerning whether you are required to file under this Chapter, you should consult an attorney.

### How to complete the form:

1. List the name of the person, firm or corporation filing this form.
2. List the address of the person, firm or corporation filing this form.
3. List the minimum number of chances made available.
4. List the minimum number of prize-winning objects included in the game.
5. List the proportionate opportunity of winning prizes.
6. List the minimum value of prizes to be made available.
7. A copy of the rules and regulations pertaining to the game, including the period of time and geographic area to be covered by the contest, **MUST** be attached.
8. The undersigned certifies that the information contained in the statement, including any accompanying attachments, is true and correct.
9. List the name and date of the person filing the statement.
10. An authorized person **MUST** sign the statement.
11. List a name and address for a contact person.

**\*\*Note: ALL** games offering over \$500.00 in prizes **MUST** register with our office. A winners list must be kept with the person, firm or company hosting the game for a minimum of one year. Any game offered to Rhode Island Residents **MUST** register with our office. (i.e., A game offered in CT, MA, NY or any other state that Rhode Island Residents play in **MUST** register with our office.)

### How to pay the filing fee:

The filing fee is \$150, payable either by mail via check made payable to RI Department of State or in person via cash, credit card, or check at the Business Services Division, 148 W. River Street, Ste. 1, Providence, RI 02904. Contact our office at (401) 222-3040 for further information.



### Statement with Reference to Games of Chance

→ Filing Fee: \$150.00

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FOR SECRETARY OF STATE USE ONLY

Pursuant to RIGL [11-50-1](#), the undersigned files the following statement of its proposal to engage in a game, contest, or other promotion or advertising scheme or plan whereby a retail establishment offers the opportunity to receive gifts, prizes, or gratuities, as determined by chance, in order to promote its retail business, where the total announced value of the prizes offered to the general public is in excess of five hundred dollars (\$500.00).



1. The name of the person, firm, or corporation filing this statement is:			
2. The address of the person, firm, or corporation filing this statement is:			
Address			
City/Town		State	Zip Code
3. Minimum number of participating objects to be made available:		4. Minimum number of prize-winning objects included in the game:	
5. Proportionate opportunity of winning prizes:		6. Minimum value or prizes to be made available:	
7. Attach a copy of the rules and regulations pertaining to the promotion or advertising scheme or plan, including the period of time and geographic area to be covered by the contest.			
<i>The undersigned certifies that the information contained in this statement, including any accompanying attachments, is true and correct.</i>			
Type or Print Name of Person, Firm, or Corporation			Date
Signature of Authorized Person			
Contact Person:			
Name:		Address:	
City/Town:	State:	Zip Code:	

**MAIL TO:**

**Division of Business Services**

148 W. River Street, Providence, Rhode Island 02904-2615

**Phone:** (401) 222-3040

**Website:** [www.sos.ri.gov](http://www.sos.ri.gov)



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## Filer Contact Information

In the event our office needs more information in order to complete the filing of this document, we ask for the filer's contact information. **All fields are REQUIRED.**

Name:		Date:
Firm or Corporation:		
Street Address:		
City:	State:	Zip Code:
Email Address:		Phone Number: